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ATTORNEY'S DOCKET NO: C1043/7028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Heeks et al.  
Serial No: 09/719,955  
Filed: December 18, 2000  
For: BACKLIT DISPLAYS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith is/are the following document(s):

- ☒ [X] Assignment with Cover Sheet
- ☒ [X] Declaration
- ☒ [X] Notice to File Missing Requirements
- ☒ [X] Request for One-Month Extension of Time

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

Enclosed is a check in the amount of \$280.00 to cover the following fees:  
(a) \$130.00 - fee for filing missing parts; (b) \$40.00 - assignment recordal fee;  
and (c) one-month extension fee - \$110.00. If the fee is insufficient, the balance  
may be charged to the account of the undersigned, Deposit Account No. 23/2825.  
A duplicate of this sheet is enclosed.

HEREBY CERTIFY THAT THIS CORRESPONDENCE IS DEPOSITED  
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3-12-01  
DATE  
  
THERESE HENDRICKS

Respectfully submitted,

By:   
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Docket No. C1043/7028

Dated: March 12, 2001

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FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE (REV. 1094)

ATTORNEY'S DOCKET  
NUMBER

C1043/7028

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/719955

INTERNATIONAL APPLICATION NO.  
PCT/GB99/01918

INTERNATIONAL FILING DATE  
16 June 1999 (16.06.99)

PRIORITY DATE CLAIMED  
19 June 1998 (19.06.98)

TITLE OF INVENTION  
BACKLIT DISPLAYS

APPLICANT(S) FOR DO/EO/US

HEEKS, Stephen Karl; CARTER, Julian Charles

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(C)(5)).

**Items 11. To 16. Below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment (with amended claims).
  - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification (submitted as a first Preliminary Amendment).
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - Mailed via Express Mailing Label No. EL711250122US
  - Published Application with Search Report
  - Post Card

Mailing Date: December 18, 2000  
Express Mail Label No. EL711250122US

430 Rec'd PCT/PTO 18 DEC 2000

|  |                     |   |             |  |    |
|--|---------------------|---|-------------|--|----|
| U.S. APPLICATION NO (If known, see 37 CFR 1.5)<br><b>09/719955</b>   |                     | INTERNATIONAL APPLICATION<br>PCT/GB99/01918 |             | ATTORNEY'S DOCKET NUMBER<br>C1043/7028   |    |
| 17. <input checked="" type="checkbox"/> The following fees are submitted:  |                     |   |             | CALCULATIONS <small>PTO USE ONLY</small> |    |
| <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b>  |                     |   |             |  |    |
| Search Report has been prepared by the EPO or JPO ..... \$860.00   |                     |   |             |  |    |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$690.00  |                     |   |             |  |    |
| No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. \$760.00   |                     |   |             |  |    |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$1000.00  |                     |   |             |  |    |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00   |                     |   |             |  |    |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>  |                     |   |             | \$ 860.00                                |    |
| Surcharge of \$130.00 for furnishing the oath or declaration later than $\frac{1}{2}$ 20 X 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |                     |   |             | \$                                       |    |
| <b>CLAIMS</b>  | <b>NUMBER FILED</b> | <b>NUMBER EXTRA</b>                         | <b>RATE</b> |  |    |
| Total Claims   | 29 - 20 =           | 9   | X \$18.00   | \$ 162.00                                |    |
| Independent Claims   | 4 - 3 =             | 1   | X \$80.00   | \$ 80.00                                 |    |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |                     |   | + \$260.00  | \$                                       |    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |                     |   |             | \$1102.00                                |    |
| Reduction by $\frac{1}{2}$ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).  |                     |   |             | \$                                       |    |
| <b>SUBTOTAL =</b>  |                     |   |             | \$1102.00                                |    |
| Processing fee of \$130.00 for furnishing the English translation later than $\frac{1}{2}$ 20 ~ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |                     |   |             | \$                                       |    |
| <b>TOTAL NATIONAL FEE =</b>  |                     |   |             | \$1102.00                                |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate coversheet (37 CFR 3.28, 3.31). \$40.00 per property +  |                     |   |             | \$                                       |    |
| <b>TOTAL FEES ENCLOSED =</b>   |                     |   |             | \$1102.00                                |    |
|  |                     |   |             | Amount to be:                            |    |
|  |                     |   |             | refunded                                 | \$ |
|  |                     |   |             | charged                                  | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$1102.00 to cover the above fees is enclosed.   |                     |   |             |  |    |
| b. Please charge by Deposit Account No. _____ In the amount of \$ _____ To cover the above fees.<br>A duplicate copy of this sheet is enclosed.  |                     |   |             |  |    |
| c. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23/2825. A duplicate of this sheet is enclosed. |                     |   |             |  |    |
| <b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>                   |                     |   |             |  |    |
| SEND ALL CORRESPONDENCE TO:  |                     |   |             | SIGNATURE                                |    |
| Therese A. Hendricks<br>WOLF, GREENFIELD & SACKS, P.C.<br>600 Atlantic Avenue<br>Boston, Massachusetts 02210   |                     |   |             | Therese A. Hendricks                     |    |
|  |                     |   |             | NAME                                     |    |
|  |                     |   |             | 30,389                                   |    |
|  |                     |   |             | REGISTRATION NO                          |    |

ATTORNEY'S DOCKET NO: C1043/7028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Heeks et al.  
Serial No: --  
Filed: Herewith  
For: BACKLIT DISPLAYS

Assistant Commissioner for Patents  
Washington, D.C. 20231

## PRELIMINARY AMENDMENT

Please amend the above application as follows to conform the specification with U.S. practice.

### In The Specification

- ◆ On page 1, before the first paragraph, please add the subheading  
-- Field of the Invention --
- ◆ On page 1, before the second paragraph, please add the subheading  
-- Background of the Invention --
- ◆ On page 3, before the second paragraph, please add the subheading  
-- Summary of the Invention --
- ◆ On page 8, before the second paragraph, please add the subheading  
-- Brief Description of the Drawings --;
- ◆ On page 8, before the third paragraph, please add the subheading  
-- Detailed Description --

### In the Abstract

Please add the following Abstract (also attached on a separate sheet at the end of this preliminary amendment):

-- A display device comprising: a light switching unit comprising an array of pixels each operable to vary the transmission of light therethrough; and a backlight comprising a first series of regions of organic light-emissive material having a first emission colour and a second series of regions of organic light-emissive material having a second emission colour, each region of organic material being located so as to lie behind a plurality of pixels of the array in the viewing direction for backlighting those pixels; and at least one of the regions of organic light-emissive material being formed by a process of ink-jet deposition. --

### In The Claims

Please delete claims 30 and 31

Please amend the claims as follows:

- ◆ In claim 3 delete "or 2 "
- ◆ In claim 5, delete "any preceding" and after "claim" insert -- 1 --
- ◆ In claim 7, delete "any preceding" and after "claim" insert -- 1 --
- ◆ In claim 9, delete "or 8 as dependant on claim 6"
- ◆ In claim 10, delete "any of claims 7 to 11" and insert -- claim 7 --
- ◆ In claim 12, delete "or 11 as dependent on claim 5"
- ◆ In claim 13, delete "any of claims 7 to 12" and" insert -- claim 7 --
- ◆ In claim 15, delete "any preceding" and after "claim" insert -- 1 --
- ◆ In claim 17, delete "any preceding" and after "claim" insert -- 1 --
- ◆ In claim 18, delete "any preceding" and after "claim" insert -- 1 --
- ◆ In claim 19, delete "any preceding" and after "claim" insert -- 1 --
- ◆ In claim 20, delete "any preceding" and after "claim" insert -- 1 --
- ◆ In claim 21, delete "any preceding" and after "claim" insert -- 1 --
- ◆ In claim 22, delete "any preceding" and after "claim" insert -- 1 --
- ◆ In claim 23, delete "any preceding" and after "claim" insert -- 1 --
- ◆ In claim 28, delete "or 27"

- ◆ In claim 29, delete "any of claims 26 to 28" and insert – claim 26 –

Respectfully Submitted,



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Date: December 18, 2000  
Docket No. C1043/7028

## ABSTRACT

A display device comprising: a light switching unit comprising an array of pixels each operable to vary the transmission of light therethrough; and a backlight comprising a first series of regions of organic light-emissive material having a first emission colour and a second series of regions of organic light-emissive material having a second emission colour, each region of organic material being located so as to lie behind a plurality of pixels of the array in the viewing direction for backlighting those pixels; and at least one of the regions of organic light-emissive material being formed by a process of ink-jet deposition.

## BACKLIT DISPLAYS

This invention relates to display devices, especially backlit display devices, and backlights for displays. The backlighting is suitably provided by means of organic light-emissive material.

Light-emissive organic materials are described in PCT/WO90/13148 and US 4,539,507, the contents of both of which are incorporated herein by reference. The basic structure of these devices is a light-emissive organic layer, for instance a film of a poly(p-phenylenevinylene ("PPV"), sandwiched between two electrodes. One of the electrodes (the cathode) injects negative charge carriers (electrons) and the other electrode (the anode) injects positive charge carriers (holes). The electrons and holes combine in the organic layer generating photons. In PCT/WO90/13148 the organic light-emissive material is a polymer. In US 4,539,507 the organic light-emissive material is of the class known as small molecule materials, such as (8-hydroxyquinolino)aluminium ("Alq3"). In a practical device, one of the electrodes is typically transparent, to allow the photons to escape the device.

Figure 1 shows the typical cross-sectional structure of an organic light-emissive device ("OLED"). The OLED is typically fabricated on a glass or plastic substrate 1 coated with a transparent first electrode 2 such as indium-tin-oxide ("ITO"). Such coated substrates are commercially available. This ITO-coated substrate is coated with at least a layer of a thin film of an electroluminescent organic material 3 and a final layer forming a second electrode 4 which is typically a metal or alloy. Other layers can be added to the device, for example to improve charge transport between the electrodes and the electroluminescent material.

Organic light-emissive materials have great potential for use in various display applications. One such application is as a backlight for transmissive or transreflective liquid crystal displays. In a liquid crystal display there is typically a planar liquid crystal cell which has active regions where the optical properties of



the liquid crystal material can be altered by the application of an electric field to vary the transmission of light through the regions. In a transmissive liquid crystal display there is a light source behind the liquid crystal panel; and light from the source shines to a viewer through those of the regions through which light can be transmitted. In a transflective liquid crystal display the light source is supplemented by a reflective mirror, also behind the liquid crystal panel, which can return incident light towards the viewer.

The shape and layout of the active liquid crystal regions is generally defined by the pattern of electrodes in the LCD. Some patterns are specific to alpha-numeric or special character formats. An alternative is a general dot matrix display pattern, in which the active regions are usually arranged to provide an array of pixels. The pixels are normally arranged in an orthogonal grid layout, with the pixels arranged in mutually perpendicular linear rows and columns, but other layouts such as non-orthogonal grids are possible. The LCD pixels can be controlled by a conventional display controller.

Figure 2 shows a schematic plan view of the basic structure of a passive-matrix LCD. There are orthogonal row 10 and column 11 lines of a transparent conductor such as ITO. These form the electrodes. The row and column lines are separated in the plane of figure 2 by the liquid crystal layer itself. (For simplicity other LCD components such as polarisers, alignment layers, the liquid crystal layer and colour filters are omitted from figure 2). The areas where row and column lines overlap define the active regions (pixels) of the device (e.g. at 13), which can be addressed by applying a voltage between the relevant row and column lines. Because the column lines run across the row lines it is not possible to individually address all the pixels at the same time. Instead, the pixels are addressed with a row-by-row scan. An alternative drive arrangement for an LCD is the active-matrix arrangement, in which each pixel has individual control circuitry, which can conveniently be in the form of thin-film transistors (TFTs), to allow more continuous driving of the pixels.

To manufacture a multi-colour display using an LCD panel it is known to provide backlights which are selectively operated to emit coloured light through pixels of the LCD panel. The operation of the backlights and the LCD panel can, if necessary, be synchronised so that the LCD pixels allow light to pass through a pixel only when the appropriate backlight colour is being emitted. For example, WO 91/10223 describes a backlit LCD formed by placing a single LCD panel, which provides a matrix of pixels, over a bank of red, green and blue fluorescent lamps. The aim of this arrangement is to improve efficiency by avoiding the need for colour filters to provide the coloured light. WO 93/13514 describes a colour fluorescent backlight for an LCD in which a backlight is provided by a plurality of phosphorescent strips capable of emitting red, green and blue light located in a vacuum chamber. PCT/GB96/00924 describes the use of a light modulator comprising a passive matrix LCD and an electroluminescent LED. The light source is addressable to emit light from selected regions, each region overlapping at least a plurality of rows of the light modulator, with the aim of reducing cross-talk in the display.

There is a need for a simplified and less expensive system to provide colour backlighting for LCDs etc., especially one in which the backlighting can be finely distributed, to cope with small LCD pixels, and precisely positioned.

According to one aspect of the present invention there is provided a display device comprising: a light switching unit comprising an array of pixels each operable to vary the transmission of light therethrough; and a backlight comprising a first series of linear regions of organic light-emissive material having a first emission colour and a second series of linear regions of organic light-emissive material having a second emission colour, each linear region of organic material being located so as to lie behind a plurality of pixels of the array in the viewing direction for backlighting those pixels; and at least one of the linear regions of organic light-emissive material being formed by a process of ink-jet deposition.

According to a second aspect of the present invention there is provided a method for forming a light-emissive unit of a display device, the display device having a light

switching unit comprising an array of pixels each operable to vary the transmission of light therethrough, the method comprising the steps of: forming a series of grooves on a substrate; depositing by means of ink-jetting in some of the grooves a linear region of a first organic light-emissive material having a first emission colour; depositing by means of ink-jetting in others of the grooves a linear region of a second organic light-emissive material having a second emission colour; and locating the grooves such that the light-emissive material in each groove lies behind a respective plurality of pixels of the array in the viewing direction for backlighting those pixels.

Preferably each region of organic light-emissive material is formed by a process of ink-jet deposition. This suitably allows for efficient, fine and accurate definition of those regions.

The backlight may comprise a third series of regions of organic light-emissive material having a third emission colour; and may comprise more such regions, giving four or more emission colours. Each region of organic light-emissive material having one emission colour is suitably spaced from the next such region by at least regions of organic light-emissive material having both of the other emission colours. For example, the materials may alternate across the plane of the device. One preferred option is for the materials to be red, green and blue light-emissive. The regions of organic light-emissive material are preferably linear regions, but could be curved, irregularly shaped or have other forms. Adjacent regions preferably run side-by-side, so that they are preferably parallel. Preferably a single one of the regions of light-emissive material lies behind each pixel.

It is preferred that where one of the regions of light-emissive material is formed by means of ink-jet deposition it is formed by means of ink-jet deposition of material into a groove. That groove (an in particular its walls) may be defined by regions of electrically insulating material.

The backlight preferably comprises electrodes located on either side of the light-emissive material. At least one of the electrodes is preferably light transmissive, and that electrode preferably lies between the emissive material and the pixels. Where the device comprises the said insulating material defining grooves it is preferred that parts of at least one of the electrodes overlap parts of that insulating material and lie in front of those parts of the insulating material in the viewing direction. The said material defining grooves may comprise at least two layers of material, in which case those layers preferably have different wetting properties. One of those layers, where present, preferably defines the lower portions of the walls of the groove and has similar wetting properties to the material that defines the base of the groove (e.g. one of the electrodes).

To improve charge distribution and/or lower resistance in either or both of the electrodes conductive material may be located in contact with the or each electrode. That conductive material preferably comprises a metal or an alloy. Where the device comprises the said insulating material defining grooves it is preferred that the said regions of conductive material at least partially overlap the insulating material. Preferably at least one of the electrodes is patterned, most preferably linearly patterned to leave a series of independent electrode strips, so as to permit independent control of each light-emissive region or each series of light-emissive regions. The said linear regions preferably correspond to rows of a display. In a preferred embodiment only one of the electrodes is patterned to permit independent control of each series of light-emissive regions and the other electrode is common to all the light-emissive regions.

The device may include a structure for spatially narrowing the light emission from at least one of the regions of light-emissive material. That structure may comprise some or all of the light-emissive structure of that region. Some non-limiting possibilities for such a structure are an interference, cavity and/or microcavity structure. Where the structure includes a resonant cavity the cavity may be defined at least in part by the light-emissive material and/or one or more

electrodes. A resonant structure may, in addition to or instead of spatially altering the emitted light, spectrally alter it for instance by spectrally redistributing the light to influence the emission colour, e.g. to purify the colour and sharpen the emission spectrum.

The device may comprise an optical colour filter arranged for receiving and filtering light emitted from at least one or of the regions of light-emissive material. All the light-emissive regions of a colour may have corresponding filters.

The light switching unit is suitably a liquid crystal unit. The array of pixels may be an orthogonal array.

The organic light-emissive material is suitably a preferably solution processable, and most preferably ink-jetable. The material is suitably a polymer and preferably a conjugated polymer.

The device may suitably also comprise a display control unit coupled to the light switching unit and the backlight. The display control unit is suitably operable to address synchronously each region of organic material together with the pixels of behind which that region lies. The display control unit is suitably capable of controlling the light switching unit and the backlight in response to video input signals received by the display control unit.

Some preferred materials for components (where present) of the light-emissive unit are as follows:

- One of the electrodes or "charge carrier injecting layers" (the hole injecting layer) preferably has a work function of greater than 4.3 eV. That layer may comprise a metallic oxide such as indium-tin oxide ("ITO") or tin oxide ("TO"). The other electrode / charge carrier injecting layer (the electron injecting layer) preferably has a work function less than 3.5 eV. That layer may suitably be made of a metal with a low work function (Ca, Ba, Yb, Sm, Li etc.) or an alloy comprising one or more of such metals together optionally with other metals

(e.g. Al). At least one of the electrode layers is suitably light transmissive, and preferably transparent, suitably at the frequency of light emission from one or more of the light-emissive regions.

- There may be one or more charge transport layers between the light-emissive material and the charge carrier injecting layers. The or each transport layer may suitably comprise one or more polymers such as polystyrene sulphonic acid doped polyethylene dioxythiophene ("PEDOT-PSS") and/or poly(2,7-(9,9-di-*n*-octylfluorene)-(1,4-phenylene-(4-imino(benzoic acid))-1,4-phenylene-(4-imino(benzoic acid))-1,4-phenylene)) ("BFA") and/or polyaniline and/or PPV.
- The or each organic light-emissive material may comprise one or more individual organic materials, suitably polymers, preferably conjugated or partially conjugated polymers. Suitable materials include poly(*p*-phenylenevinylene) ("PPV"), poly(2-methoxy-5(2'-ethyl)hexyloxyphenylenevinylene) ("MEH-PPV"), a PPV-derivative (e.g. a di-alkoxy or di-alkyl derivative), a polyfluorene and/or a co-polymer incorporating polyfluorene segments, PPVs and/or related co-polymers, poly(2,7-(9,9-di-*n*-octylfluorene)-(1,4-phenylene-((4-secbutylphenyl)imino)-1,4-phenylene)) ("TFB"), poly(2,7-(9,9-di-*n*-octylfluorene) - (1,4-phenylene-((4-methylphenyl)imino)-1,4-phenylene-((4 - methylphenyl)imino) - 1,4-phenylene)) ("PFM"), poly(2,7 - (9,9 - di-*n*-octylfluorene) - (1,4-phenylene-((4-methoxyphenyl)imino)-1,4-phenylene-((4-methoxyphenyl)imino)-1,4-phenylene)) ("PFMO"), poly(2,7-(9,9-di-*n*-octylfluorene) ("F8") or (2,7-(9,9-di-*n*-octylfluorene)-3,6-Benzothiadiazole) ("F8BT"). Alternative materials include organic molecular light-emissive materials, e.g. solution processible small molecule materials such as spiro compounds (see EP 0 676 461 A), and other solution processible small molecule or conjugated polymer electroluminescent material as known in the prior art.

The present invention also provides a combination of a light-emissive unit as described and a light switching unit as described.

It will be appreciated that the term ink-jet deposition refers to a type of deposition process and does not imply that the material to be deposited is an ink.

The present invention will now be described by way of example with reference to the accompanying drawings, in which:

figure 3 shows a schematic plan view of part of a display device; and

figure 4 shows a schematic cross-sectional view of part of the display device on the line A-A' in figure 3.

The device of figures 3 and 4 is a three-colour backlit LCD display device. The device comprises a planar backlight unit 20 and a planar LCD unit 21. The backlight unit is located behind the LCD unit 21 in the viewing direction, so that in the emission direction light from the backlight can pass through any light transmissive pixels of the LCD unit and towards a viewer 22 (figure 4).

The backlight is provided by an organic light-emissive device which has a plurality of parallel linear regions 23-27 of light-emissive material. Each region is provided by one of three different emissive materials which emit correspondingly different colours of light. The materials alternate so that the regions are in groups of red, green and blue emissive materials, as indicated in figures 3 and 4 by the initials R, G and B. The emissive regions are sandwiched between anode and cathode electrodes. The cathode electrode 29 is common to all the emissive regions. The anode electrode is patterned into distinct rows 30-34 which each overlie a respective one of the emissive regions, so that the emissive regions can be controlled independently. The anode is formed of a light transmissive material. The anode is deposited on a glass substrate 36.

The LCD unit is a normal passive-matrix LCD unit in which the pixels 50-59 are arranged on an orthogonal grid and are connected by row 60-64 and column 65, 66 electrodes.

The backlight is dimensioned and located relative to the LCD unit so that each row of pixels in the LCD unit is underlain by one red, green or blue emissive region of the backlight. In figures 3 and 4 pixels 50 to 54 are underlain by regions 23 to 27 respectively, as are pixels 55 to 59 in figure 3 only.

The backlight unit and the LCD unit are connected to a control unit 45. The control unit receives a video data feed at 46, which defines the colour pattern to be shown on the display. The video feed could come from any suitable source, such as (without limitation) a television decoder, a personal computer or another electronic device. The pattern may represent a frame of a multi-frame moving image. In the normal way a separating unit 47 of the control unit separates the colour pattern into red, green and blue pattern components which can be displayed in turn to give a user a time-averaged impression of the desired full-colour pattern.

A driver unit 48 of the control unit then drives the pixels of the LCD device and, in synchronisation with the LCD device, the emissive regions of the backlight. First, a suitable voltage and current is applied between the cathode 29 and the anode electrode strip 30 which corresponds to red emissive region 23. This causes that regions to emit red light. At the same time the pixels 50, 55 of the LCD panel are controlled using electrodes 60, 65 and 66 to allow transmission only where red light is to be emitted for the red component of the pattern. After a predetermined duration the driver unit turns off the red emissive region 23. Then a voltage and current to cause the green emissive regions 24 to emit light and at the same time the pixels 51, 56 of the LCD panel are controlled to allow transmission only where green light is to be emitted for the green component of the pattern. After a predetermined duration has passed the driver unit turns off the green emissive region 24 and applies a voltage and current to cause the blue emissive region 25 to emit light at the same time as the pixels 52, 57 of the LCD panel are controlled to allow transmission only where blue light is to be emitted for the blue component of the pattern. The rapid switching between colours gives a viewer an impression of a steady full-colour pattern. The process continues until all the rows of the



display have been scanned in this way. After that the display controller causes the display to cycle through the rows again. To display a moving image that next cycle could show the pattern of the next frame.

The device could use more or fewer than three emission colours, driven in a corresponding way to that described above.

The duration of display of each colour could be the same or different. If the emissive regions for different colours differed in efficiency then the duration of display could be related to the efficiency so that the time-averaged intensity of emission of each colour was substantially the same. The frequency of cycling through all three colours could vary; convenient frequencies are in the range from 50 to 120 Hz but higher or lower frequencies could be used.

The LCD device may have several thousand pixels. For instance, one typical size is 800 columns by 600 rows, giving a total of 480,000 pixels. A typical pixel size is 300 x 100  $\mu\text{m}$ .

The light-emissive regions could run parallel to the rows or, less preferably, the columns of the LCD unit.

The manufacture of the device will now be described.

The backlight unit is manufactured taking as a first step a commercially available ITO-coated glass substrate. The ITO is then patterned in lines by a standard process such as photolithography to define the separate electrode regions 30-34. Additional lines of metallisation could be provided in contact with the ITO, e.g. between the ITO and the glass substrate, or in the plane of the ITO, to help distribute charge in the ITO. Preferably the metallisation lines are at least in part located between the bank and the glass substrate.

Over the ITO an insulating layer shown generally at 49 is deposited and then patterned to leave banks 70 of insulating material that lie between and overlap the edges of the anode strips 30-35. The banks 70 define grooves in the gaps between adjacent banks. The banks may suitably be formed of polyimide or any other suitable insulating material such as  $\text{SiO}_2$ .

To help the formation of the light-emissive region in the grooves between the banks, especially when the material that is to form the light-emissive region is deposited by ink-jet printing, a differential wetting bank formation may be used. The bank may be formed from two layers of material: one thin layer that is easily wettable by the material that is to form the light-emissive region, and over that a thicker layer that defines the upper walls of the groove and is not easily wettable by the material that is to form the light-emissive region. Then when the material that is to form the light-emissive region is deposited in the region it tends to bead up at the base of the groove.

The banks overlap the edges of the ITO anode strips. This helps to define sharp edges to the light emission from the light-emissive regions.

Then the light-emissive material is deposited by ink-jet printing into the grooves that are defined between the banks. To deposit the light-emitting material by ink-jet printing the material or a precursor of the material is sprayed into the appropriate groove through an ink-jet printer spray head. A suitable spraying cycle is 14,400 drops per second, with a drop volume of 30pl. The ink-jet system could be a continuous stream system (e.g. using electrostatic directional control of the stream) or a drop-on-demand system using e.g. a piezoelectric or bubble-jet print head. Some examples of suitable light-emissive materials are: for the red emissive regions a di-alkoxy PPV, for the green emissive regions PPV (e.g. prepared by the precursor route) and for the blue emissive regions a polyfluorene. Other materials and other colours could, of course, be used. An alternative to using the grooves is to use a differential wetting process: the substrate on to which the emissive material is to be deposited could be treated with a wetting

agent or a non-wetting agent to cause the ink-jetted material to bead up into the desired formations over the anode strips.

Instead of ink-jet printing other selective deposition methods could be used, preferably methods that allow for easy patterning of the light-emissive regions into stripes. Other selective deposition methods that may be suitable include screen printing (which is especially appropriate for large-area displays), masking techniques, off-set printing, screen printing, electrostatic printing, gravure printing and flexographic printing.

Finally the cathode layer 29 is deposited over the banks and the emissive layers. PPV. The cathode layer could be a thin layer of calcium adjacent to the emissive regions, topped by a thicker layer of aluminium.

One or more charge carrier transport layers, for instance of PEDOT-PSS or other materials could be located between the anode strips and the light-emissive regions and/or between the cathode and the light-emissive regions. These layers could help charge transport in the forward direction and/or help to block charge transport in the reverse direction. The same charge transport layer(s) could be used between the respective electrodes and all the emissive regions or specific charge transport layers could be used for each emissive material. Especially where the same material is used for the charge transport layer for all the emissive regions it may be found that in many cases the device will perform acceptably if the charge transport layer is not patterned - thus a continuous transport layer may be used over the entire device. Where a charge transport layer is to be patterned it may be deposited uniformly and then patterned or may be deposited in a patterned form, e.g. by ink-jet printing. Other layers could be present such as barrier layers to counteract degradation of the device during use, conducting layers to improve charge distribution over the area of the device, insulating layers to inhibit unwanted charge migration, or protection layers to prevent degradation of parts of the device during manufacture.

Instead of (or in addition to) the patterning of the anode into lines the cathode could be patterned into lines parallel to the rows of emissive material. Where the "top" electrode (i.e. the later of the electrodes to be deposited - the cathode in the example of figures 3 and 4) is patterned it will be appreciated that the banks 70 can usefully serve to protect lower layers from damage from the process of patterning the top electrode, particularly by spacing active pixel edges laterally from the patterned edges of the top electrode regions.

The cathode could be located in front of the emissive regions, with the anode behind them. In that case, the cathode should be of a light transmissive material.

It may be desirable to sharpen spatially the emission from one or more of the emissive regions to improve the effect of the display. One efficient way to achieve this is by defining a resonant cavity within the device which can spatially and/or spectrally narrow the emission by means of interference and/or cavity effects. One particularly efficient way of implementing such a cavity is by integrating the emissive material itself into such a cavity, with (for instance) the spacing between the anode and cathode electrodes on either side of the emissive material defining the ends of the cavity. Additional layers such as dielectric stacks could be provided to define some or all of the cavity. The cavity itself could be augmented by the thickness of the organic layers.

The LCD unit is a conventional passive matrix LCD unit. Any suitable type of LCD unit could be used, including ferroelectric, TN and STN types. It will be appreciated that liquid crystal displays are just one class of light-switching devices that could be used in relation to the present invention and that other suitable devices could be used instead.

The present invention may include any feature or combination of features disclosed herein either implicitly or explicitly or any generalisation thereof, irrespective of whether it relates to the presently claimed invention. In view of the

foregoing description it will be evident to a person skilled in the art that various modifications may be made within the scope of the invention.

## CLAIMS

1. A display device comprising:

a light switching unit comprising an array of pixels each operable to vary the transmission of light therethrough; and

a backlight comprising a first series of linear regions of organic light-emissive material having a first emission colour and a second series of linear regions of organic light-emissive material having a second emission colour, each linear region of organic material being located so as to lie behind a plurality of pixels of the array in the viewing direction for backlighting those pixels; and

at least one of the linear regions of organic light-emissive material being formed by a process of ink-jet deposition.

2. A display device as claimed in claim 1, wherein each region of organic light-emissive material is formed by a process of ink-jet deposition.

3. A display device as claimed in claim 1 or 2, wherein the backlight comprises a third series of regions of organic light-emissive material having a third emission colour.

4. A display device as claimed in claim 3, wherein each region of organic light-emissive material having one emission colour is spaced from the next such region by at least regions of organic light-emissive material having both of the other emission colours.

5. A display device as claimed in any preceding claim, wherein each region of organic light-emissive material is formed by means of ink-jet deposition of material into a groove.

6. A display device as claimed in claim 5, wherein the groove is defined by regions of electrically insulating material.

7. A display device as claimed in any preceding claim, wherein the backlight comprises electrodes located on either side of the light-emissive material.
8. A display device as claimed in claim 7, wherein at least one of the electrodes is light transmissive.
9. A display device as claimed in claim 7 or 8 as dependant on claim 6, wherein parts of at least one of the electrodes overlap parts of the insulating material and lie in front of those parts of the insulating material in the viewing direction.
10. A display device as claimed in any of claims 7 to 11, comprising conductive material located in contact with an electrode to lower the resistance across that electrode.
11. A display device as claimed in claim 10, wherein the said conductive material comprises a metal or an alloy.
12. A display device as claimed in claim 10 or 11 as dependant on claim 5, wherein the said regions of conductive material at least partially overlap the insulating material.
13. A display device as claimed in any of claims 7 to 12, wherein at least one of the electrodes is patterned to permit independent control of each series of light-emissive regions.
14. A display device as claimed in claim 13, wherein only one of the electrodes is patterned to permit independent control of each series of light-emissive regions and the other electrode is common to all the light-emissive regions.
15. A display device as claimed in any preceding claim, comprising a structure for receiving and spatially and/or spectrally narrowing the light emission from at least one of the regions of light-emissive material.

16. A display device as claimed in claim 15, wherein the structure is an interference, cavity and/or microcavity structure that comprises a region of light-emissive material.

17. A display device as claimed in any preceding claim, comprising an optical colour filter arranged for receiving and filtering light emitted from at least one of the regions of light-emissive material.

18. A display device as claimed in any preceding claim, wherein the light switching unit is a liquid crystal unit.

19. A display device as claimed in any preceding claim, wherein the array of pixels is an orthogonal array.

20. A display device as claimed in any preceding claim, wherein each region of organic light-emissive material is formed by means of ink-jet deposition of a solution of the organic light-emissive material.

21. A display device as claimed in any preceding claim, wherein the organic material is a polymer.

22. A display device as claimed in any preceding claim, wherein the organic material is precursor material.

23. A display device as claimed in any preceding claim, comprising a display control unit coupled to the light switching unit and the backlight and operable to address synchronously each region of organic material together with the pixels of behind which that region lies.

24. A display device comprising:

a light switching unit comprising an array of pixels each operable to vary the transmission of light therethrough; and



a backlight comprising a first series of linear regions of organic light-emissive material having a first emission colour and a second series of linear regions of organic light-emissive material having a second emission colour, each linear region of organic material being located so as to lie behind a plurality of pixels of the array in the viewing direction for backlighting those pixels; and

at least one of the linear regions of organic light-emissive material being formed by a selective deposition process.

25. A method for forming a light-emissive unit of a display device, the display device having a light switching unit comprising an array of pixels each operable to vary the transmission of light therethrough, the method comprising the steps of:

forming a series of grooves on a substrate;

depositing by means of ink-jetting in some of the grooves a first linear region of organic light-emissive material having a first emission colour;

depositing by means of ink-jetting in others of the grooves a second linear region of organic light-emissive material having a second emission colour; and

locating the grooves such that the light-emissive material in each groove lies behind a respective plurality of pixels of the array in the viewing direction for backlighting those pixels.

26. A display device substantially as herein described with reference to the accompanying drawings.

27. A method for manufacturing a display device, substantially as herein described with reference to the accompanying drawings.

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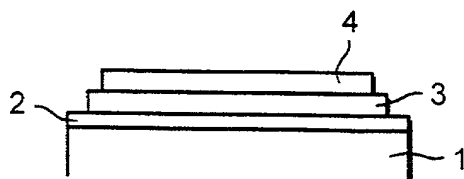


FIG. 1

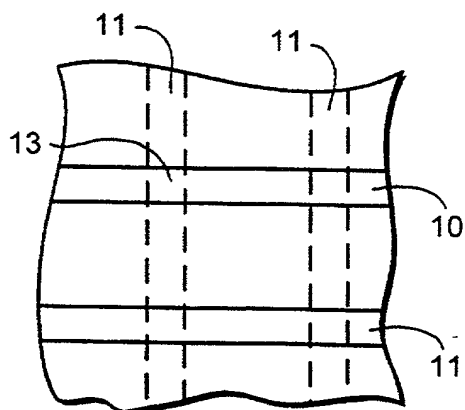


FIG. 2

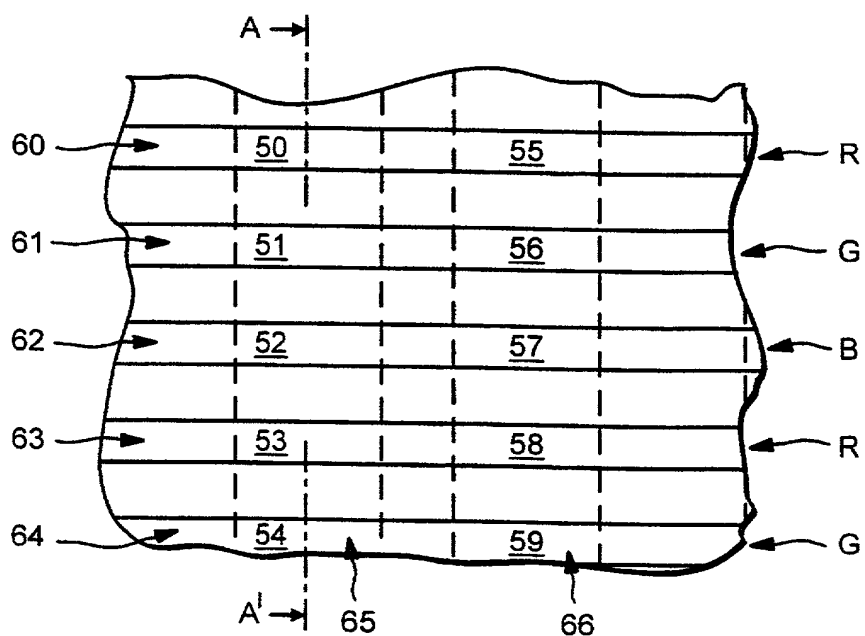


FIG. 3

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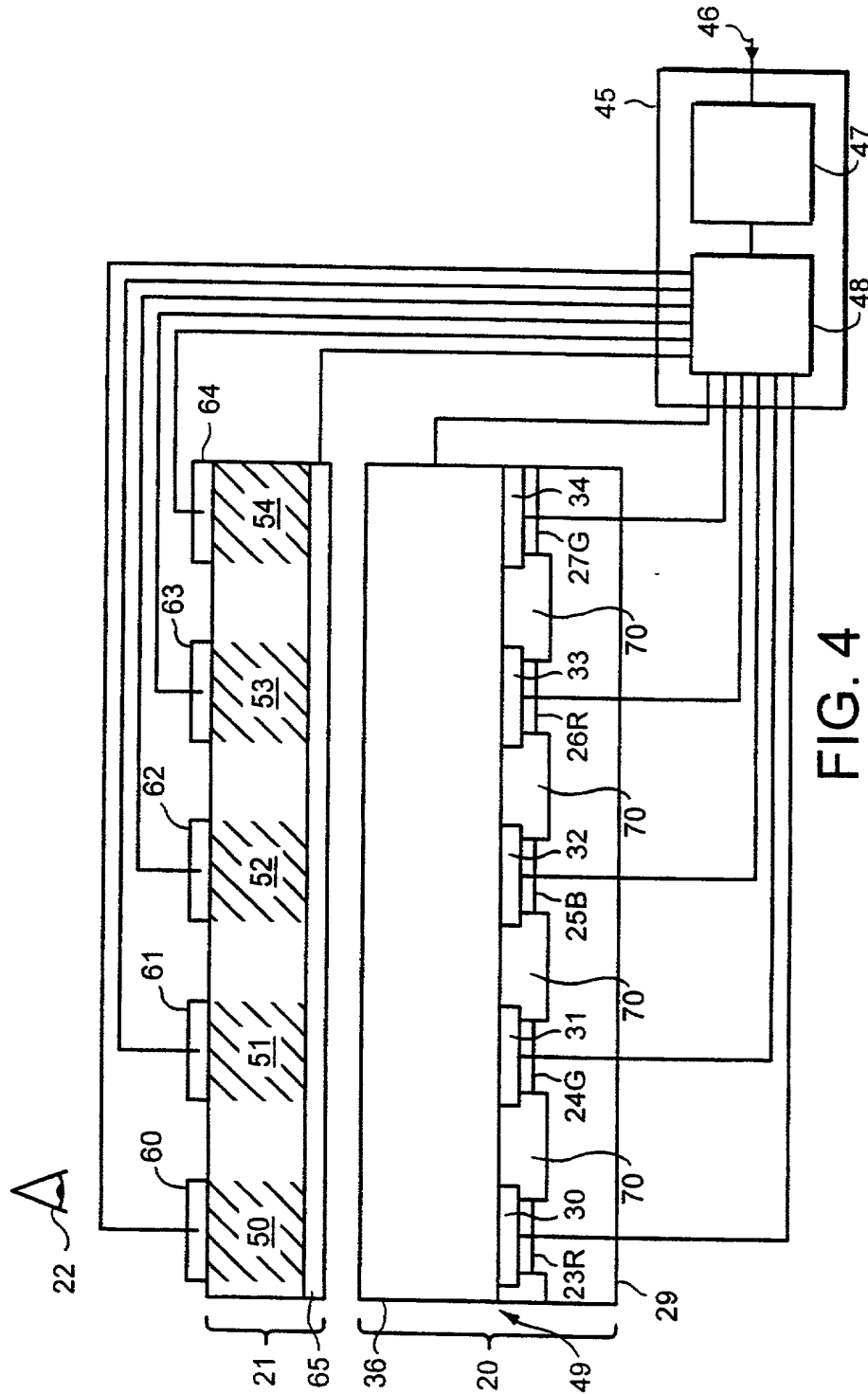


FIG. 4



REV 03/09/92

Docket No. C1043/7028

**DECLARATION FOR PATENT APPLICATION**

as a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**BACKLIT DISPLAYS**

the specification of which was filed December 18, 2000 and granted U.S. Serial No. 09/719,955.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| Prior Foreign PCT Application(s) and any priority claims under 35 U.S.C. §119: |                              |                  | Priority Claimed |     |
|--|------------------------------|------------------|------------------|-----|
| GB 9813326.7   | Great Britain                | 19 June 1998     | [X]              | [ ] |
| (Number)   | (Country if PCT so indicate) | (DD/MM/YY Filed) | YES              | NO  |
| PCT/GB99/01918   | PCT                          | 16 June 1999     | [X]              | [ ] |
| (Number)   | (Country)                    | (DD/MM/YY Filed) | YES              | NO  |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (filing date) (status-patented, pending, abandoned)

(Application Serial No.) (filing date) (status-patented, pending, abandoned)

PCT Applications designating the United States:

(PCT Appl. No.) (U.S. Ser. No.) (PCT filing date) (status-patented, pending, abandoned)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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